Oriented Posets and Rank Matrices

(partially based on joint work with Mohan Ravichandran, Emine Yıldırım and Cem Yalım Özel)

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November 30, 2023

The case of fence posets

Let $\alpha = (\alpha_1, \alpha_2, ..., \alpha_s)$ be a composition of *n*. The *fence poset* of α , denoted $F(\alpha)$ is the poset on $x_1, x_2, ..., x_{n+1}$ with the order relations:

$$x_1 \preceq x_2 \preceq \cdots \preceq x_{\alpha_1+1} \succeq x_{\alpha_1+2} \succeq \cdots \succeq x_{\alpha_1+\alpha_2+1} \preceq x_{\alpha_1+\alpha_2+2} \preceq \cdots$$



For a composition of n, we get a poset of n + 1 nodes.

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#I = rank(I)

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1 ideal of rank 0,

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1 ideal of rank 0, 3 ideals of rank 1, 5 ideals of rank 2, ... $(1,3,5,6,6,5,3,2,1) \leftarrow \text{Rank sequence.}$ $1+3q+5q^2+6q^3+6q^4+5q^5+3q^6+2q^7+q^8 \leftarrow \text{Rank polynomial.}$



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This is a "type A" quiver representation.

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A subrepresentation is one that makes the diagram commute.









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 $^{^1\}mathrm{Morier}\text{-}\mathrm{Genoud}$ and Ovsienko, " $q\text{-}\mathrm{deformed}$ rationals and $q\text{-}\mathrm{continued}$ fractions".

Recently, a q-deformation rational numbers was introduced by Morier-Genoud and Ovsienko¹. Their definition has a *convergence* property, which allows us to extend them to real numbers.

For a given rational number r/s, we first write it as a continued fraction.



 $a_i \in \mathbb{Z}, a_i \ge 1 \text{ for } i \ge 2$ $c_i \in \mathbb{Z}, c_i \ge 2 \text{ for } i \ge 2$

¹Morier-Genoud and Ovsienko, "q-deformed rationals and q-continued fractions".

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Then we replace the expansion terms with *q*-integers $(q^{-1}$ -integers for $a_{2k})$, and the 1's with powers of *q*.

$$\begin{bmatrix} r\\ s \end{bmatrix}_{q} := [a_{1}]_{q} + \frac{q^{a_{1}}}{[a_{2}]_{q^{-1}} + \frac{q^{-a_{2}}}{\vdots}} = [c_{1}]_{q} - \frac{q^{c_{1}-1}}{[c_{2}]_{q} - \frac{q^{c_{2}-1}}{\vdots}} = [c_{1}]_{q} - \frac{q^{c_{1}-1}}{[c_{2}]_{q} - \frac{q^{c_{2}-1}}{\vdots}}$$

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$$\left[\frac{r}{s}\right]_q = \frac{R(q)}{S(q)}$$
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Another cool thing: $\left[\frac{r}{s}\right]_q = \frac{R(q)}{S(q)}$ where $R(q), S(q) \in \mathbb{Z}[q]$ are polynomials that at q=1, evaluate to r and s respectively.

Also, when $\frac{r}{s} \ge 0$ the coefficients are non-negative.





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In general, if r/s corresponds to $[a_1, a_2, \ldots, a_{2m}]$, we have

$$\begin{bmatrix} r \\ s \end{bmatrix}_q = \frac{\text{Rank polynomial for } (a_1 - 1, a_2, a_3, \dots, a_{2m} - 1)}{\text{Rank polynomial for } (0, a_2 - 1, a_3, \dots, a_{2m} - 1)}$$

A closer look at rank sequences for fences

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Conjecture (Morier-Genoud, Ovsienko, 2020)

The rank polynomials of fence posets are unimodal.

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Oriented Posets and Rank Matrices

What more can we say?

Consider $(2, 1, 1, 3) \rightarrow (1, 3, 5, 6, 6, 5, 3, 2, 1)$.
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Consider $(2, 1, 1, 3) \rightarrow (1, 3, 5, 6, 6, 5, 3, 2, 1)$.

We have $1 \le 1 \le 2 \le 3 \le 3 \le 5 \le 5 \le 6 \le 6$.

We call such a sequence bottom-interlacing:

$$a_n \leq a_0 \leq a_{n-1} \leq a_1 \leq \ldots \leq a_{\lfloor n/2 \rfloor}.$$
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For example, the rank sequence (1, 2, 4, 5, 6, 6, 4, 2, 1) of (2, 2, 3) is top interlacing:

$$1 \le 1 \le 2 \le 2 \le 4 \le 4 \le 5 \le 6 \le 6.$$

$\begin{array}{rcl} (2,1,1,3) & \rightarrow & (1,3,5,6,6,5,3,2,1) \rightarrow \mathsf{BI} \\ (3,1,1,2) & \rightarrow & (1,3,5,6,6,5,3,2,1) \rightarrow \mathsf{BI} \\ (1,2,1,3) & \rightarrow & (1,3,5,6,6,5,4,2,1) \rightarrow \mathsf{BI} \\ (1,1,2,3) & \rightarrow & (1,3,5,7,7,5,4,2,1) \rightarrow \mathsf{BI} \\ (2,2,3) & \rightarrow & (1,2,4,5,6,6,4,2,1) \rightarrow \mathsf{TI} \\ (2,3,2) & \rightarrow & (1,2,4,6,7,6,4,2,1) \rightarrow \mathsf{BI},\mathsf{TI} \text{ (symmetric)} \\ (2,1,4) & \rightarrow & (1,2,3,3,4,4,3,2,1) \rightarrow \mathsf{TI} \\ (2,1,2,1,1) & \rightarrow & (1,3,6,7,8,7,5,3,1) \rightarrow \mathsf{BI} \end{array}$

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Conjecture (McConville, Sagan, Smyth, 2021²)

Suppose α = (α₁, α₂,..., α_s).
(a) If s = 1 then r(α) = (1, 1, ..., 1) is symmetric.
(b) If s is even, then r(α) is bottom interlacing.
(c) If s ≥ 3 is odd we have:

(i) If α₁ > α_s then r(α) is bottom interlacing.
(ii) If α₁ < α_s then r(α) is top interlacing.
(iii) If α₁ = α_s then r(α) is symmetric, bottom interlacing, or top interlacing depending on whether r(α₂, α₃,..., α_{s-1}) is symmetric, top interlacing, or bottom interlacing, respectively.

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²McConville, B. E. Sagan, and Smyth, *On a rank-unimodality conjecture of Morier-Genoud and Ovsienko.*







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It is symmetric. Is this always so?

Answer: Yes, but it is not trivial to prove.

Theorem (Kantarcı Oğuz, Ravichandran, 2021³)

Rank polynomials of circular fence posets are symmetric.

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³Kantarcı Oğuz and Ravichandran, *Rank Polynomials of Fence Posets are Unimodal*.

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Our proof:

We have one case that is trivially symmetric: (k, 1, 1, ..., 1).



We show that moving a node from one segment to the next does not break symmetry.

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>> Recent bijective proof by Sagan and Elizalde⁴.

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Oriented Posets and Rank Matrices

The next step

There are several natural ways to associate a circular fence to a given fence.



What does this tell us about the rank polynomial?

$$\begin{array}{rll} \text{symmetric piece} & (1,2,3,5,5,5,3,2,1) & b_0 = b_n, \ b_1 = b_{n-1}, \dots \\ & + & \\ \text{smaller piece,} & (0,1,2,1,1,0,0,0,0) & c_0 \geq c_n, \ c_1 \geq c_{n-1}, \dots \\ \text{shifted center} & = & \\ & = & \\ & \sum q^{\text{rank}(I)} & (1,3,5,6,6,5,3,2,1) & a_0 \geq a_n, \ a_1 \geq a_{n-1}, \dots \end{array}$$

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This gives us half of the equations for being bottom interlacing:

$$a_n \leq a_0, \quad a_{n-1} \leq a_1, \quad a_{n-2} \leq a_2 \quad a_{n-3} \leq a_3, \ldots$$

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$$a_n \leq a_0 \leq a_{n-1} \leq a_1 \leq a_{n-2} \leq a_2 \leq a_{n-3} \leq a_3 \leq \dots$$
 (BI)

We can get the other half by associating another circular fence.



On the rank polynomial side

 $\begin{array}{rll} \text{symmetric piece} & (1,2,3,5,6,6,5,3,2,1) & b_0 = b_{n+1}, \ b_1 = b_n, \dots \\ & \text{larger} & & \\ & - & & \\ & \text{smaller piece,} & (1,1,0,0,0,0,0,0,0) & c_0 \ge c_n, \ c_1 \ge c_{n-1}, \dots \\ & \text{shifted center} & = & = \end{array}$

 $(0, a_0, a_1, \ldots, a_n)$ (0, 1, 3, 5, 6, 6, 5, 3, 2, 1) $0 \le a_n, a_0 \le a_{n-1} \ldots$

On the rank polynomial side

symmetric piece (1, 2, 3, 5, 6, 6, 5, 3, 2, 1) $b_0 = b_{n+1}, b_1 = b_n, \dots$ larger smaller piece, (1, 1, 0, 0, 0, 0, 0, 0, 0) $c_0 \ge c_n, c_1 \ge c_{n-1}, \dots$ shifted center = $(0, a_0, a_1, \ldots, a_n)$ (0, 1, 3, 5, 6, 6, 5, 3, 2, 1) $0 \le a_n, a_0 \le a_{n-1} \ldots$ This gives us the other half of the bottom-interlacing equations: $a_n \le a_0, \quad a_{n-1} \le a_1, \quad a_{n-2} \le a_2, \quad a_{n-3} \le a_3, \dots$ $a_0 \leq a_{n-1}, \quad a_1 \leq a_{n-2}, \quad a_2 \leq a_{n-3}, \ldots$ = $a_n \leq a_0 \leq a_{n-1} \leq a_1 \leq a_{n-2} \leq a_2 \leq a_{n-3} \leq a_3 \leq \ldots$ (BI)

Theorem (Kantarcı Oğuz, Ravichandran, 2021)

Rank polynomials of fence posets are unimodal.

In particular, for $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_s)$ we have: (a) If s = 1 then $r(\alpha) = (1, 1, ..., 1)$ is symmetric. (b) If s is even, then $r(\alpha)$ is bottom interlacing. (c) If $s \ge 3$ is odd we have: (i) If $\alpha_1 > \alpha_s$ then $r(\alpha)$ is bottom interlacing. (ii) If $\alpha_1 < \alpha_s$ then $r(\alpha)$ is top interlacing. (iii) If $\alpha_1 = \alpha_s$ then $r(\alpha)$ is symmetric, bottom interlacing, or top interlacing depending on whether $r(\alpha_2, \alpha_3, \ldots, \alpha_{s-1})$ is symmetric, top interlacing, or bottom interlacing, respectively.

Are they also unimodal?

Are they also unimodal? Answer: Not always.

For the circular poset (1, a, 1, a) we get a small dip in the middle:

 $(1, 2, \ldots, a, a+1, a, a+1, a, a-1, \ldots, 2, 1).$

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Nicer answer: Almost always.

Conjecture (Kantarcı Oğuz, Ravichandran, 2022)

For any $\alpha \neq (1, k, 1, k)$ or (k, 1, k, 1) for some k, the rank sequence $\overline{\mathcal{R}}(\alpha; q)$ is unimodal.

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Another Perspective

We can also see fences as intervals in the Young's lattice.

Young's Lattice is the lattice of Ferrers diagrams of Partitions ordered by inclusion.



(Image from Wikipedia, created by David Eppstein)

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For any partition, we can look at the generating function of the partitions that lay under it.

$${{ extsf{G}}(\lambda;q)}:=\sum_{\mu\subset\lambda}q^{|\mu|}$$

$$G\left(\square;q\right) = q^3 + 2q^2 + q + 1$$

$$G\left(\square;q\right) = q^4 + 2q^3 + 2q^2 + q$$

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n

+1

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$${\it G}(\lambda/
u; {\it q}) := \sum_{
u \subset \mu \subset \lambda} {\it q}^{|\mu| - |
u|}$$

$$G\left(\left|\frac{1}{2}\right|,q\right) = q^2 + 2q + 1$$



Unimodality of these polynomials were considered by Stanton in 1990^5 .

⁵Stanton, "Unimodality and Young's lattice".

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Conjecture (Stanton, 1990)

The polynomials corresponding to self-dual partitions are unimodal.

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| Partition | i | Values | Partition | i | Values |
|-----------|----|----------|-----------|----|-------------|
| 8844 | 15 | 31 30 31 | 11 11 6 6 | 21 | 67 66 67 |
| 10 9 4 4 | 17 | 46 45 46 | 14 13 4 4 | 21 | 76 75 76 |
| 10 10 4 4 | 17 | 46 45 46 | 16 12 4 4 | 23 | 91 90 91 |
| 12 10 4 4 | 19 | 61 60 61 | 14 14 4 4 | 21 | 76 75 76 |
| 12 11 4 4 | 19 | 61 60 61 | 12 12 8 4 | 23 | 81 80 81 |
| 12 12 4 4 | 19 | 61 60 61 | 12 10 8 6 | 23 | 82 81 82 |
| 14 11 4 4 | 21 | 76 75 76 | 888642 | 23 | 141 140 141 |
| 11 11 6 5 | 21 | 67 66 67 | 886644 | 23 | 144 143 144 |
| 14 12 4 4 | 21 | 76 75 76 | | | |

TABLE I

(Table from "Unimodality and Young's Lattice", Stanton)




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Rank polynomials actually correspond to a special class of differences called *ribbon diagrams*, where we have no 2×2 box.

Polynomials corresponding to ribbon diagrams are unimodal.

A generalization: Oriented Posets

We build posets from building blocks which we call *oriented posets*, which come with 2×2 rank matrices instead of rank polynomials.



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$$\mathcal{M}_q(\mathbf{P}
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Combining posets \Leftrightarrow Multiplying rank matrices.

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$\mathcal{M}_q(\mathbf{P} \nearrow)$

Combining posets \Leftrightarrow Multiplying rank matrices.



 $\mathcal{M}_q(\mathbf{P} \nearrow) \qquad \mathcal{M}_q(\mathbf{Q} \nearrow)$





Taking the trace \Leftrightarrow Combining the two ends of a poset

Taking the trace \Leftrightarrow Combining the two ends of a poset



$$\mathcal{R}(\circlearrowright(\mathsf{P}\nearrow);q) = \operatorname{tr}(\mathcal{M}_w(\mathsf{P}\nearrow))$$

In particular, for dealing with fence poset or circular fence posets, two matrices are enough to give us all the structure.

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If we are dealing with fence poset or circular fence posets, two matrices are enough to give us all the structure.

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Theorem (Kantarcı Oğuz, 2022)

Consider the oriented poset $F(\alpha)$ corresponding to $\alpha = (u_1, d_1, u_2, d_2, \dots, u_s, d_s)$. Then $F(\alpha)$ has rank matrices:

 $\mathcal{M}_{q}(F(\alpha) \searrow) = U^{u_{1}} D^{d_{1}} U^{u_{2}} D^{d_{2}} \cdots U^{u_{s-1}} D^{d_{s-1}} U^{u_{s}} D^{d_{s+1}},$ $\mathcal{M}_{q}(F(\alpha) \nearrow) = U^{u_{1}} D^{d_{1}} U^{u_{2}} D^{d_{2}} \cdots U^{u_{s-1}} D^{d_{s-1}} U^{u_{s}} D^{d_{s}} U.$

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The circular fence poset $\overline{F}(\alpha)$ has rank polynomial:

 $\mathcal{R}(\overline{F}(\alpha);q) = \operatorname{trace}(U^{u_1}D^{d_1}U^{u_2}D^{d_2}\cdots U^{u_{s-1}}D^{d_{s-1}}U^{u_s}D^{d_s}).$

Application: Identities

We can use matrices to do fast calculations, conjecture and prove identities.

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Proposition (Kantarcı Oğuz, 2022)

Let **X** be a palindromic composition with an even number of parts. For $k \ge 1$, $s \ge 1$ we have:

$$\overline{\mathcal{R}}((1, k, r+1, \mathbf{X}, r); q) = [k+1]_q \cdot \overline{\mathcal{R}}((r+2, \mathbf{X}, r); q),$$

$$\overline{\mathcal{R}}((k, 1, k+r, \mathbf{X}, r); q) = [k+1]_q \cdot \overline{\mathcal{R}}((k+r+1, \mathbf{X}, r); q)$$



We can use matrix identities to get recurrences on fences.

$$U^2 = (q+1)U + q,$$
 $D^2 = (q+1)D + q.$

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 $D^2 = (q+1)D + q.$

Proposition (Kantarcı Oğuz, Ravichandran, Özel, 2023)

We have the following recurrence relations on rank polynomials:

$$\begin{aligned} \mathcal{R}((k+2,\mathbf{X});q) &= (q+1)\mathcal{R}((k+1,\mathbf{X});q) + q\mathcal{R}((k,\mathbf{X});q),\\ \overline{\mathcal{R}}((k+2,\mathbf{X});q) &= (q+1)\overline{\mathcal{R}}((k+1,\mathbf{X});q) + q\overline{\mathcal{R}}((k,\mathbf{X});q). \end{aligned}$$

Application: Recurrences

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$$DUD = DU + UD - U + D^3 - D^2.$$

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Proposition (Kantarcı Oğuz, Özel, Ravichandran, 2022)

We have the following recurrence relation polynomials:

$$\overline{\mathcal{R}}((a,1,b,X);q) = \overline{\mathcal{R}}((a-1,1,b,X);q) + \overline{\mathcal{R}}((a,1,b-1,X);q) -\overline{\mathcal{R}}((a-1,1,b-1,X);q) +\overline{\mathcal{R}}((a+b+1,X);q) - \overline{\mathcal{R}}((a+b,X);q).$$

Application: Recurrences

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Theorem (Kantarcı Oğuz, Özel, Ravichandran, 2022) For any $\alpha \neq (1, k, 1, k)$ or (k, 1, k, 1) for some k, the rank sequence $\overline{\mathcal{R}}(\alpha; q)$ is unimodal.

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Oriented Posets and Rank Matrices

Application: Calculations on Cluster Algebras

We can also keep track of the actual vertices in each ideal. We only need to substitute w_i for q in the matrices. We can use that to calculate expansion formulas for arcs in trianglated surfaces.



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Oriented Posets and Rank Matrices



We get a *weight* matrix where the top left entry gives us the generating polynomials of the ideals.

$$\bigcirc \nearrow \left(\begin{bmatrix} 1+w_1 & -w_1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1+w_2 & -w_2 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} w_3 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} w_4 & 1 \\ 0 & 1 \end{bmatrix} \right) \begin{bmatrix} w_5 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1+w_6 & -w_6 \\ 1 & 0 \end{bmatrix}$$

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Oriented Posets and Rank Matrices

 $1 + w_3 + w_5 + w_2w_3 + w_3w_5 + w_5w_6 + w_2w_3w_5 + w_3w_4w_5$ $+ w_3w_5w_6 + w_2w_3w_4w_5 + w_2w_3w_5w_6 + w_3w_4w_5w_6$ $+ w_1w_2w_3w_4w_5 + w_2w_3w_4w_5w_6 + w_1w_2w_3w_4w_5w_6.$

We than plug in the weights (and more) to obtain the expansion formula of the arc:

$$\begin{aligned} x_{\gamma} &= \frac{x(M_{-})}{\operatorname{cross}(\gamma, T)} \mathcal{R}(P_{\gamma}; xy) = \frac{x_{1}x_{2}x_{4}^{2}x_{6}x_{9}}{x_{1}x_{2}x_{3}x_{4}x_{5}x_{6}} \mathcal{R}(P_{\gamma}; xy) \\ &= \frac{x_{4}x_{9}}{x_{3}x_{5}} + \frac{x_{1}x_{9}x_{15}}{x_{2}x_{3}x_{5}}y_{3} + \frac{x_{9}x_{11}x_{14}}{x_{3}x_{5}x_{6}}y_{5} + \frac{x_{9}x_{10}}{x_{2}x_{5}}y_{2}y_{3} + \frac{x_{1}x_{9}x_{11}x_{14}x_{15}}{x_{2}x_{3}x_{4}x_{5}x_{6}}y_{3}y_{5} \\ &+ \frac{x_{7}x_{14}}{x_{3}x_{6}}y_{5}y_{6} + \frac{x_{9}x_{10}x_{11}x_{14}}{x_{2}x_{4}x_{5}x_{6}}y_{2}y_{3}y_{5} + \frac{x_{9}x_{11}x_{15}}{x_{2}x_{4}x_{6}}y_{3}y_{4}y_{5} + \frac{x_{1}x_{7}x_{14}x_{15}}{x_{2}x_{3}x_{4}x_{6}}y_{3}y_{5}y_{6} \\ &+ \frac{x_{3}x_{9}x_{10}x_{11}}{x_{1}x_{2}x_{4}x_{6}}y_{2}y_{3}y_{4}y_{5} + \frac{x_{7}x_{10}x_{14}}{x_{2}x_{4}x_{6}}y_{2}y_{3}y_{5}y_{6} + \frac{x_{5}x_{7}x_{15}}{x_{2}x_{4}x_{6}}y_{3}y_{4}y_{5}y_{6} \\ &+ \frac{x_{9}x_{11}}{x_{1}x_{1}x_{6}}y_{1}y_{2}y_{3}y_{4}y_{5} + \frac{x_{3}x_{5}x_{7}x_{10}}{x_{1}x_{2}x_{4}x_{6}}y_{2}y_{3}y_{4}y_{5}y_{6} + \frac{x_{5}x_{7}}{x_{16}}y_{1}y_{2}y_{3}y_{4}y_{5}y_{6}. \end{aligned}$$

Markov triples are positive integer solutions of the Markov Diophantine equation:

$$x^2 + y^2 + z^2 = 3xyz.$$

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Frobenius conjectured that these maximums are in bijection with all Markov numbers:

Conjecture

Uniqueness Conjecture (Frobenius, 1913) Each Markov number is the largest member of exactly one Markov triple.

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All solution triples can be recursively calculated recursively from Ezgi KANTARCI OĞUZ Oriented Posets and Rank Matrices One can also calculate Markov numbers using *Christoffel words*. We take the corresponding *Cohn matrix* for each word, then divide the trace by 3. One can also calculate Markov numbers using *Christoffel words*. We take the corresponding *Cohn matrix* for each word, then divide the trace by 3.

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Recently, *q*-deformed Markov numbers were defined using *q*-deformed Cohn matrices and dividing by $[3]_q$ instead.

Observations:

The *q*-deformed Cohn matrices are rank matrices of certain posets.

The division by $[3]_q$ can be dealt with via the identity:

$$\overline{\mathcal{R}}((1,k,r+1,\mathbf{X},r);q) = [k+1]_q \cdot \overline{\mathcal{R}}((r+2,\mathbf{X},r);q).$$

Oriented posets give a combinatorial model for q-deformed Markov Numbers.

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Algorithm: For a given Markov Number N,

Take the corresponding Christoffel word w.

Delete leftmost and rightmost letters of w.

Replace each a by 1, 1, each b by 2, 2.

Prepend by 3,1 to get a composition $\alpha(N)$.

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For the Markov number 13 we get:

 $13 \rightarrow aab \rightarrow a \rightarrow 1, 1 \rightarrow (3, 1, 1, 1) = \alpha(13)$

. The q-deformations given by $\mathcal{R}(\overline{F}(3,1,1,1);q)$:

 ${\sf trace}(U^3 \cdot D \cdot U \cdot D) = 1 + 2q + 2q^2 + 3q^3 + 2q^4 + 2q^5 + 1.$

Thank you for listening!

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$$\mathcal{M}_{q}(\mathbf{P}\searrow) := \begin{bmatrix} \mathcal{R} & -\mathcal{R}|_{x_{R} \in I} \\ \mathcal{R}|_{x_{L} \notin I} & -\mathcal{R}|_{x_{R} \notin I} \\ x_{L} \notin I \end{bmatrix} \quad \mathcal{M}_{q}(\mathbf{P}\nearrow) := \begin{bmatrix} \mathcal{R}|_{x_{R} \in I} & \mathcal{R}|_{x_{R} \notin I} \\ \mathcal{R}|_{x_{R} \notin I} & \mathcal{R}|_{x_{R} \notin I} \\ x_{L} \notin I & x_{L} \notin I \end{bmatrix}$$

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Oriented Posets and Rank Matrices

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